

MICROCOPY RESOLUTION TEST CHART NATIONAL BUREAU OF STANDARDS-1963-A



GAR.

OFFICE OF NAVAL RESEARCH

Contract N00014-80-C-0472

Task No. NR 056-749

TECHNICAL REPORT No. 67

Overlap Integrals for Atom-Metal Surface Interactions

bу

William C. Murphy and Thomas F. George

Prepared for Publication

in

International Journal of Quantum Chemistry

Department of Chemistry University of Rochester Rochester, New York 14627

May 1985



FILE COPY

Reproduction in whole or in part is permitted for any purpose of the United States Government

This document has been approved for public release and sale; its distribution is unlimited.

85 5 23 137

#### SECURITY CLASSIFICATION OF THIS PAGE

REPORT DOCUMENTATION PAGE							
12 REPORT SECURITY CLASSIFICATION Unclassified	1b. RESTRICTIVE MARKINGS						
2a SECURITY CLASSIFICATION AUTHORITY			DISTRIBUTION/AVAILABILITY OF REPORT				
26. DECLASSIFICATION/DOWNGRADING SCHEDULE		Approved for public release; distribution unlimited					
4. PERFORMING ORGANIZATION REPORT NUMBER(S)		5. MONITORING OR	GANIZATION R	EPORT NUMBER(S			
UROCHESTER/DC/85/TR-67							
6a. NAME OF PERFORMING ORGANIZATION Bb. OFFICE'S UP application University of Rochester		Office of		earch (Code	413)		
Sc. ADDRESS (City, State and ZIP Code) River Station Rochester, New York 14627				t			
8a. NAME OF FUNDING/SPONSORING 8b. OFFICE S ORGANIZATION (If applice		9. PROCUREMENT I			MBER		
Office of Naval Research		Contract	N00014-80-	C-0472			
Sc. ADDRESS (City, State and ZIP Code)  Chomic thus Decompose	,	10. SOURCE OF FUN		1			
Chemistry Program 800 N. Quincy Street		PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT NO.		
Arlington Virginia 22217		61153N	013-08	NR 056-748	L		
Overlap Integrals for Atom-Meta	1 Surfa	ce Interaction	ns	<u> </u>			
12.PERSONAL AUTHOR(S) William C. Murphy and Thomas F.	George						
13a. TYPE OF REPORT 13b. TIME COVERED	OCOT 90	14. DATE OF REPORT (Yr., Mo., Dey) 15. PAGE COUNT					
Interim Technical FROM To_		May 1985		16			
Prepared for publication in International Journal of Quantum Chemistry							
OVEDI AS	TERMS (C	ontinue on reverse if ne RALS EXAC	ntinua on reverse if necessary and identify by block number) RALS EXACT ALGEBRAIC EXPRESSIONS				
				WAVEVECTOR	J		
			RAL CASE	· ·			
19. ASSTRACT (Continue on reverse if necessary and identify by block number) Atom-metal surface overlap integrals are of utmost importance in surface energy calculations. Direct numerical evaluation of these triple integrals can be very time consuming. However, we have developed an exact algebraic expression, where formulas for the coefficients are given for both the general case and the special case where the parallel wavevector is zero. Some numerical examples of the overlap for H on Al are given.							
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT		21. ABSTRACT SECL	FITY CLASSIF	CATION			
UNCLASSIFIED/UNLIMITED 🖾 SAME AS RPT. 🖼 DTIC USE	RS 🗆	Unclassified					
22s. NAME OF RESPONSIBLE INDIVIDUAL		22b. TELEPHONE NI (Include Area Co		22c. OFFICE SYM	BOL		
Dr. David L. Nelson		(202)696-4					

#### International Journal of Quantum Chemistry, in press

#### OVERLAP INTEGRALS FOR ATOM-METAL SURFACE INTERACTIONS

William C. Murphy and Thomas F. George Department of Chemistry University of Rochester Rochester, New York 14627

#### Abstract

Atom-metal surface overlap integrals are of utmost importance in surface energy calculations. Direct numerical evaluation of these triple integrals can be very time consuming. However, we have developed an exact algebraic expression, where formulas for the coefficients are given for both the general case and the special case where the parallel wavevector is zero. Some numerical examples of the overlap for H on Al are given.

Additional laywords: wave functions,

#### 1. Introduction

The interaction of an adatom with a metal surface is of utmost importance in surface physics (and surface science in general). Several researchers have expended a great deal of effort in its determination. To evaluate this interaction potential, one common method is to first expand the total wavefunction in a mixed basis set,

$$\psi_{\mathbf{i}}(\vec{r}) = \sum_{\mathbf{a}} c_{\mathbf{a}}^{\mathbf{i}} \phi_{\mathbf{a}}(\vec{r}) + \sum_{\mathbf{k}} c_{\mathbf{k}}^{\mathbf{i}} \phi_{\mathbf{k}}(\vec{r}) , \qquad (1)$$

where  $\Phi_a(\vec{r})$  are wavefunctions that are localized on the adatom with quantum number a, and  $\Phi_k(\vec{r})$  are the delocalized wavefunctions of the metal with quantum number k. One of the difficulties involved with such an expansion is the need to remove the overcompleteness. Lundqvist has suggested that this can best be done by the requirement

$$\sum_{k} c_{k}^{i} S_{a,k} = 0 , \qquad (2)$$

where the overlap integral is given by

$$S_{a,k} = \langle a | k \rangle . \tag{3}$$

Consequently, a knowledge of this overlap is needed to remove the overcompleteness. Furthermore, to solve the secular determinant for Eq. (1), one needs to know the values of the overlap integral and two interaction integrals. The interaction integrals in turn can be related to each other and the overlap via the Hermitian property

$$E_a S_{k,a}^* + \langle k | V_L | a \rangle^* = E_k S_{a,k} + \langle a | V_a | k \rangle$$
, (4)

where  $E_a$  and  $V_a$  are the eigenvalue and potential of the isolated atom, and  $E_k$  and  $V_L$  are the eigenvalue and potential of the isolated metal. Consequently, an evaluation of the overlap is vital for solving the secular determinant.

In this paper, we show that a closed form expression for the overlap can be obtained. We define our system in the next section, and following this we give the details of evaluating  $S_{a,k}$ . Finally,  $v_{\ell}$  discuss our results and suggest further uses of this calculation.

#### 2. The System

We shall examine the case of a single atom impinging on a simple metal surface. The electrons associated with the atom will be approximated by Slater orbitals,  $^7$ 

$$\phi_{a}(\vec{r}) = \left[\frac{(2\xi)^{2n+1}}{(2n)!}\right]^{1/2} r^{n-1} e^{-\xi r} Y_{\ell}^{m}(\theta, \phi) , \qquad (5)$$

where  $Y_{\ell}^{m}(\theta,\phi)$  are the spherical harmonics,  $a_{\Xi}(n,\ell,m)$  are the atomic quantum numbers, and  $\xi$  is the orbital factor. The origin of our system is chosen to be on the surface; therefore,

$$r = \sqrt{r_{11}^2 + (z - z_a)^2} , \qquad (6)$$

where z and  $r_{ii}$  are the position of the electron perpendicular and parallel to the surface, and  $z_a$  is the distance of the atom from the surface. The Slater orbital choice was made for computational efficiency. Furthermore, if  $V_a$  is Coulombic, the interaction integral for the atomic potential will be

$$\langle a|V_a|k \rangle = \frac{2\xi Z}{\sqrt{(2n)(2n-1)}} S_{a',k},$$
 (7)

where Z is the nuclear charge and a' = (n-1,l,m). Consequently, all integrals of interest can be written in terms of the overlap.

previously, the metal has been modeled within the truncated jellium approximation. 1-5 Instead of using the numerical wavefunctions from this model, we shall consider the metal electrons as particle-in-a-box. Such wavefunctions are good approximations to the jellium model and provide the basis set necessary for a more exact approach within the nearly-free-electron approximation. 8 These particles-in-a-box wavefunctions are

$$\Phi_{k}(\vec{r}) = A_{s}^{-1/2} e^{i\vec{k}_{\parallel} \cdot \vec{r}} f(z)$$
, (8)

where  $A_s$  is the surface normalization area, and  $k_{\parallel}$  is the component of the electronic wavevector parallel to the surface. f(z) is the one-dimensional particle-in-a-box wavefunction:

$$f(z) = (\frac{2}{L}) \sin(k_z z + \theta_k)$$
 (9a)

for -L < z < 0,

$$f(z) = (\frac{2}{L}) \sin \theta_{L} e^{-qz}$$
(9b)

for z > 0, and

$$f(z) = (\frac{2}{L}) \sin(-k_z L + \theta_k) e^{q(z+L)}$$
(9c)

for z < -L, with

$$q = \sqrt{2W - k_z^2} \tag{10}$$

$$tan\theta_{k} = -\frac{k_{z}}{q} , \qquad (11)$$

where L is the thickness of the metal,  $k_Z$  is the component of the electronic wavevector perpendicular to the surface, and W is the sum of the work function and the Fermi energy.

To calculate the overlap of the wavefunctions given in Eqs. (5) and (8), we must solve the integral

$$S_{a,k} = A_s^{-1/2} \left[ \frac{(2\xi)^{2n+1}}{(2n)!} \right]^{1/2} \int d\vec{r} r^{n-1} e^{-\xi r} Y_{\xi}^{m*}(p,q) e^{i\vec{k}_{\parallel} \cdot \vec{r}} f(z+z_a) , (12)$$

where the  $\mathbf{z}_{\mathbf{a}}$  is needed in the metal wavefunction since our origin is centered on the adatom. At first this integral appears quite complicated, due to the broken symmetry caused by the surface. However, we can carry out an evaluation by means of a Fourier expansion.

#### 3. Evaluation

The metal wavefunction, Eq. (8), can be represented by a Fourier transform,

$$\Phi_{\mathbf{k}}(\vec{r}) = \int d\vec{s} \, \Phi_{\mathbf{k}}(\vec{s}) \, e^{i\vec{s}\cdot\vec{r}} , \qquad (13)$$

where s is the Fourier coordinate. The transform of the wavefunction is given

by

$$\Phi_{\mathbf{k}}(\mathbf{s}) = \frac{\mathbf{f}(\mathbf{s}_{\mathbf{z}})}{\mathbf{A}_{\mathbf{s}}^{1/2}} \delta(\mathbf{k}_{\parallel} - \mathbf{s}_{\parallel})$$
(14)

with

$$f(s_z) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dz e^{is_z z} f(z + z_a)$$
, (15)

where  $\delta(\vec{k}_{\parallel} - \vec{s}_{\parallel})$  is the Dirac delta function. Using Eq. (9) in Eq. (15), we obtain

$$f(s_z) = \frac{1}{2\tau} (\frac{2}{L})^{1/2} \{ \sin\theta_k \int_0^\infty dz \ e^{is_z (z-z_a)} e^{-qz} + \sin(\theta_k - k_z L) \int_{-\infty}^{-L} dz \ e^{is_z (z-z_a)} e^{q(z+L)} + \int_{-L}^0 dz \ e^{is_z (z-z_a)} \sin(k_z z + \theta_k) \}$$
(16)

Evaluating these integrals is straightforward and gives

$$f(s_{z}) = \frac{1}{2\pi} \left(\frac{2}{L}\right)^{1/2} \left\{ \frac{i \sin\theta_{k} e^{-is_{z}z_{a}}}{s_{z} + iq} + \frac{i \sin(\theta_{k} - k_{z}L) e^{-is_{z}(z_{a} + L)}}{s_{z} - iq} - \frac{e^{-is_{z}z_{a}}}{e^{-is_{z}z_{a}}} \left[ \frac{i\theta_{k}}{k_{z} + s_{z}} + \frac{e^{-i\theta_{k}}}{k_{z} - s_{z}} - \frac{e^{-i\left[(k_{z} + s_{z})L + \theta_{k}\right]}}{k_{z} + s_{z}} - \frac{e^{-i\left[(k_{z} - s_{z})L + \theta_{k}\right]}}{e^{-is_{z}z_{a}}} \right] \right\}.$$
 (17)

Combining Eqs. (12) and (13), we can express the overlap as

$$S_{a,k} = \left[\frac{(2\xi)^{2n+1}}{(2n)!}\right]^{1/2} \int d\vec{s} \, \phi_k(\vec{s}) \int d\vec{r} \, r^{n-1} \, e^{-\xi r} \, Y_{\underline{z}}^{m*}(\theta,\phi) \, e^{i\vec{s} \cdot \vec{r}} . \tag{18}$$

The exponential can be expanded in terms of the spherical harmonics,

$$e^{i\vec{s}\cdot\vec{r}} = 4\pi \sum_{\ell=0}^{\infty} \sum_{m'=-\ell'}^{\ell'} i^{\ell'} Y_{\ell'}^{m'*}(\theta_s, \phi_s) Y_{\ell'}^{m'}(\theta, \phi) j_{\ell'}(sr)$$
, (19)

where  $j_{\ell}$ ,(sr) is the spherical Bessel function. Using this in Eq. (18) and the orthonormality of the spherical harmonics, the overlap reduces to

$$S_{a,k} = \left[ \frac{(2\xi)^{2n+1}}{(2n)!} \right]^{1/2} 4\pi i^{\ell} \int_{0}^{\infty} ds \, \phi(s) \, Y_{\ell}^{m*}(\theta_{s}, \phi_{s}) \int_{0}^{\infty} dr \, r^{n+1} \, j_{\ell}(sr) \, e^{\xi r} \, . \tag{20}$$

By Eq. (14), this can be further reduced to

$$S_{a,k} = 4\pi i^{\ell} \left[ \frac{(2\ell+1) \cdot (\ell-|m|)! \cdot (2\xi)^{2n+1}}{4\pi \cdot (\ell+|m|)! \cdot (2n)!} \right] A_{s}^{-1/2}$$

$$\times e^{-im\phi_{k}} \int_{-\infty}^{+\infty} ds_{z} f(s_{z}) P_{\ell}^{|m|} \left( \frac{s_{z}}{s} \right) \int dr r^{n+1} j_{\ell}(sr) e^{-\xi r}, \qquad (21)$$

where  $\phi_k$  is the azimuthal angle in k-space,  $P_k^{|m|}(\frac{s}{s})$  is the associated Legendre function, and s is now given by

$$s = \sqrt{k_{\parallel}^2 + s_z^2}$$
 (22)

The spherical Bessel function can readily be expanded as 10

$$j_{\ell}(sr) = \frac{1}{2sr} \sum_{t=0}^{\ell} \frac{i^{t-\ell-1}(\ell+t)!}{t!(\ell-t)!(2sr)^{t}} \left[ e^{isr} + e^{-isr}(-1)^{t-\ell-1} \right]. \tag{23}$$

Using this expression, we can evaluate the inner integral in Eq. (21):

$$S_{a,k} = A_s^{-1/2} e^{-imc_k} D \sum_{t=0}^{\ell} \frac{i^{t-1}(\ell+t)!(n-t)!}{t!(\ell-t)!}$$

$$\times \int ds_{z} f(s_{z}) P_{\ell}^{|m|} (\frac{s_{z}}{s}) [\frac{1}{(2s)^{t+1}}] [\frac{1}{(\xi-is)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{(\xi+is)^{n-t+1}}] , \qquad (24)$$

where for convenience, we define

$$D = 4\pi \left[ \frac{(2\ell+1) (\ell-|m|)! (2\ell)^{2n+1}}{4\pi (\ell+|m|)! (2n)!} \right]^{1/2}$$
 (25)

In order to evaluate the integral in Eq. (24), it is easier to examine the integrand with the fractions combined:

$$S_{a,k} = A_{s}^{-1/2} e^{-imt} k D_{t=0}^{t} \frac{i^{t-1}(t+t)! (n-t)!}{t! (t-t)!} \int_{-\infty}^{+\infty} ds_{z} f(s_{z}) P_{t}^{|m|} (\frac{s_{z}}{s}) [\frac{1}{(2s)^{t+1}}]$$

$$\times \xi^{n-t-1} \sum_{j=0}^{n-t+1} \frac{(n-t+1)!}{(n-t+1-j)! \ j!} \left(\frac{i}{\xi}\right)^{j} \frac{\left[\frac{1}{1+(-1)}t^{-k-1}+j\right]s^{j}}{\left(\xi^{2}+k_{H}^{2}+s_{z}^{2}\right)^{n-t+1}} . \tag{26}$$

Eq. (26) can be solved by considering the integral as extended over a semicircle in the negative complex plane. The total integral over this enclosed path gives

$$\int_{-\infty}^{+\infty} ds_z \dots + \int_{C} ds_z \dots = -2\pi i \sum_{j} R_{j} , \qquad (27)$$

where the integrand is the same as in Eq. (26). The C in the second integral implies integration over the semicircle part of the curve, and R are the residues of the poles contained within the total curve. Since the integral is in the negative complex plane, the exponentials in  $f(s_z)$  goes to zero at infinity; the second integral vanishes. Consequently, the integral in Eq. (26) only depends on the poles in the negative complex plane. Inspection of the integrand reveals three such poles: (1) a pole of order 1 at  $s_z = -iq$  contained in  $f(s_z)$ ; (2) a pole of order n+1 at  $s_z = -i\sqrt{\xi^2 + k_\parallel^2}$  from the fraction in the sum; and (3) a pole of order 1 at  $s_z = -ik_\parallel$  due partially to the Legendre function and partically to the fraction it multiplies.

Using Eq. (17), we can easily determine the residue at the first pole:

$$R_{1} = -\frac{1}{2\pi i} \left(\frac{2^{1/2}}{LA_{s}}\right)^{2} e^{-imc_{k}} D \sin\theta_{k} e^{-qz_{a}} \sum_{t=0}^{\ell} \frac{(\ell+t)! (n-t)!}{t! (\ell-t)!} P_{\ell}^{|m|} \left(\frac{-q}{\sqrt{q^{2}-k_{||}^{2}}}\right)$$

$$\times \frac{1}{\left(2\sqrt{q^{2}-k_{||}^{2}}\right)^{t+1}} \left[\frac{1}{\left(\xi+\sqrt{q^{2}-k_{||}^{2}}\right)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{\left(\xi-\sqrt{q^{2}-k_{||}^{2}}\right)^{n-t+1}}\right], \qquad (26)$$

where we have ignored terms that vanish as the metal thickness, L, becomes large. In order to evaluate the other two residues, we first define

$$F(is_z) = \left[\frac{i \sin \theta_k}{s_z + iq_k} - \frac{1}{2} \left(\frac{e^{i\theta_k}}{k_z + s_z} + \frac{e^{-i\theta_k}}{k_z - s_z}\right)\right]$$

$$= \sum_{t=0}^{\ell} \frac{t^{-1}}{t!(\ell-t)!} \frac{(\ell+t)! (n-t)!}{t!(\ell-t)!} P_{\ell}^{|m|} \left(\frac{s_{z}}{s}\right) \left[\frac{1}{(2s)^{t+1}}\right] \left[\frac{1}{(\xi-is)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{(\xi+is)^{n-t+1}}\right] . \quad (29)$$

Consequently, we can now write the residue at  $s_z = -i\sqrt{\xi^2 + k_{\parallel}^2}$  as

$$R_{2} = \frac{1}{2\pi} \left(\frac{2}{LA_{s}}\right)^{1/2} e^{-im\phi_{K}} \frac{D}{n!} \frac{d^{n}}{ds_{z}^{n}} \left\{ \left[s_{z}^{+} i\sqrt{\xi^{2} + k_{||}^{2}}\right]^{n+1} F(is_{z}) e^{is_{z}^{2} a} \right\}_{s=-i\sqrt{\xi^{2} + k_{||}^{2}}}. \quad (30)$$

If we let  $x = is_z$ , then

$$R_{2} = -\frac{i}{2\pi} \left(\frac{2^{1/2}}{LA_{s}}\right) e^{-imt} k \frac{D}{n!} \frac{d^{n}}{dx^{n}} \left\{ \left[x - \sqrt{\xi^{2} + k_{\parallel}^{2}}\right]^{n+1} F(x) e^{-xz} a \right\}_{x = \sqrt{\xi^{2} + k_{\parallel}^{2}}}.$$
 (31)

Using Leibnitz' formula for the differentiation of the product, 11 we obtain

$$R_{2} = -\frac{i}{2\pi} \left(\frac{2}{LA_{s}}\right)^{1/2} e^{-im\phi} k \frac{D}{n!} \sum_{h=0}^{n} \frac{n!(-1)^{n-h}}{(n-h)! h!} z_{a}^{n-h} e^{-\sqrt{\xi^{2} + k_{\parallel}^{2}}} z_{a}$$

$$\frac{d^{h}}{dx^{h}} \left\{ \left( x - \sqrt{\xi^{2} + k_{\parallel}^{2}} \right)^{n+1} F(x) \right\}_{x = \sqrt{\xi^{2} + k_{\parallel}^{2}}} . \tag{32}$$

If we now define

$$B_{h} = \frac{(-1)^{n-h+1} D}{(n-h)! h!} \frac{d^{h}}{dx^{h}} \left\{ x - \sqrt{\xi^{2} + k_{\parallel}^{2}} \right\}_{x = \sqrt{\xi^{2} + k_{\parallel}^{2}}}$$
(32)

we can then write the second residue as

$$R_{2} = \frac{i}{2\pi} \left(\frac{2}{LA_{s}}\right) e^{-im\phi_{k}} \left(\sum_{h=0}^{n} B_{h} z_{a}^{n-h}\right) e^{-\sqrt{\xi^{2} + k_{H}^{2}} z_{a}}.$$
 (34)

Similarly, we can obtain the residue at  $s_z = -ik_{\parallel}$  as

$$R_{3} = \frac{i}{2\pi} \left(\frac{2}{LA_{s}}\right) e^{imc_{k}} \left(\sum_{h=0}^{\ell-1} c_{h} z_{a}^{\ell-1-h}\right) e^{-k_{\parallel} z_{a}}.$$
 (35)

for  $\ell > 0$ . If  $\ell = 0$ , there is no residue at  $s_z = -ik_{\parallel}$ . The coefficients are given by

$$C_{h} = \frac{(-1)^{\ell-h} D}{(\ell-1-h)! h!} \frac{d^{h}}{dx^{h}} \left\{ \left[ x - k_{\parallel} \right]^{\ell} F(x) \right\}_{x=k_{\parallel}}$$
(36)

In the spirit of the second and third residues, we can rewrite the first, Eq. (26), as

$$R_{1} = \frac{1}{2\pi} \left(\frac{2}{LA_{s}}\right)^{1/2} e^{-im\phi_{k}} A e^{-qz_{a}}, \qquad (37)$$

where

$$A = D \sin \theta_{k} \sum_{t=0}^{\ell} \frac{(\ell + t)! (n - t)!}{t! (\ell - t)!} P_{\ell}^{|m|} \left( \frac{-q}{\sqrt{q^{2} - k_{||}^{2}}} \right) \frac{1}{(2\sqrt{q^{2} - k_{||}^{2}})^{t+1}} \times \left[ \frac{1}{\left(\xi + \sqrt{q^{2} - k_{||}^{2}}\right)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{\left(\xi - \sqrt{q^{2} - k_{||}^{2}}\right)^{n-t+1}} \right].$$
(38)

Since we now have the value of the residue at each pole, we are able to obtain the overlag

$$S_{a,k} = -2\pi i \sum_{i=1}^{3} R_i$$
, (39)

where the minus sign is due to our integrating in the clockwise direction. Using Eqs. (34), (35) and (37), we obtain

$$S_{a,k} = \left(\frac{2}{LA_{s}}\right)^{1/2 - im\phi_{k}} \left\{Ae^{-qz_{a}} + \left[\sum_{h=0}^{n} B_{h} z_{a}^{n-h}\right] e^{-\sqrt{\xi^{2} + k_{\parallel}^{2}}} z_{a}\right\}$$

$$+ \left[\sum_{h=0}^{\ell-1} C_{h} z_{a}^{\ell-1-h}\right] e^{-k_{\parallel} z_{a}} . \tag{40}$$

Thus, we have reduced the overlap integral to a simple algebraic expression in terms of the distance of the adatom from the surface. Furthermore, as we shall see below, A,  $B_h$  and  $C_h$  are real; consequently, all imaginary contributions to the overlap are determined by the simple phase factor in Eq. (40).

#### 4. Numeric redures

In order to coute values for the overlap, Eq. (40), one must solve for the coefficients. The procedure for A is straightforward, and its value is given by Eq. (38).  $B_h$  and  $C_h$ , however, dependent on several differentiations of the

function  $F(is_z)$ . One simplification is obtained by observing that  $F(is_z)$  is completely real. This can be shown by making the substitution  $x = is_z$  in Eq. (29):

$$F(x) = \left[\frac{\sin\theta_{k}}{x-q} + \frac{k_{z}\cos\theta_{k} - x\sin\theta_{k}}{x^{2}+k_{z}^{2}}\right] \sum_{t=0}^{\ell} \frac{(\ell+t)! (n-t)!}{t! (\ell-t)!} p_{\ell}^{|m|} \left(\frac{-x}{\sqrt{x^{2}-k_{\parallel}^{2}}}\right)$$

$$\times \frac{1}{\left(2\sqrt{x^{2}-k_{\parallel}^{2}}\right)^{t+1}} \left[\frac{1}{\left(\xi + \sqrt{x^{2}-k_{\parallel}^{2}}\right)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{\left(\xi - \sqrt{x^{2}-k_{\parallel}^{2}}\right)^{n-t+1}}\right]. \tag{41}$$

To proceed with the evaluation of  $B_h$  and  $C_h$ , one could obtain a closed-form expression by repeated use of Leibnitz' formula or attempt to use a numerical approach based on finite differences. However, a simplified form of the overlap can be obtained for the special case of  $k_{\parallel}$  = 0. Since the overlap would be expected to be greatest for  $k_{\parallel}$  large ( $k_{\parallel}$  small), one could use this special case as a basis for obtaining a general solution.

If we assume that  $k_{\parallel}=0$ , the associated Legendre function in Eqs. (3g) and (41) will vanish unless m=0. Consequently,  $S_{a,k}=0$  for  $k_{\parallel}=0$  and  $m\neq 0$ . For the case where m=0, to evaluate  $C_h$  one must include in Eq. (29) the terms that depend on L from Eq. (17) since these terms do not vanish at  $s_z=0$ . However, the value of these terms assures that  $F(is_z)$  and, consequently,  $C_h$  goes to zero. Therefore, for  $k_{\parallel}=0$  and m=0, the overlap becomes

$$S_{a,k} = (\frac{2}{LA_s})^{1/2} \{ A e^{-qz_a} + [\sum_{h=0}^{n} B_h z_a^{n-h}] e^{-\xi z_a} \},$$
 (42)

where A can now be simplified to the form

$$A = D \sin \theta_{k} \sum_{t=0}^{\ell} \frac{(\ell+t)! (n-t)!}{t! (\ell-t)!} \frac{(-1)^{\ell}}{(2q)^{t+1}} \left[ \frac{1}{(\xi+q)^{n-t+1}} + \frac{(-1)^{t-\ell-1}}{(\xi-q)^{n-t+1}} \right]. \tag{43}$$

Furthermore, using Eqs. (33) and (41),  $B_h$  reduces to

$$B_{h} = \frac{(-1)^{\ell-h}}{(n-h)! \ h!} D \sum_{t=0}^{\ell} \frac{(\ell+t)!(n-t)!}{t! \ (\ell-t)!}$$

$$\times \frac{d^{h}}{dx^{h}} \left[ \left( \frac{\sin \theta_{k}}{x^{-q}} + \frac{k_{z} \cos \theta_{k}}{x^{2} + k_{z}^{2}} - x \sin \theta_{k}}{x^{2} + k_{z}^{2}} \right) \frac{(\xi - x)^{t}}{(2x)^{t+1}} \right]_{x=\xi}. \tag{44}$$

Another application of Leibnitz' formula leads to

$$B_{h} = \frac{(-1)^{\ell-h} D}{(n-h)!} \int_{t=0}^{(\ell,h)} \frac{(\ell+t)! (n-t)! (-1)^{t}}{t! (\ell-t)! (h-t)!}$$

$$\times \frac{d^{h-t}}{dx^{h-t}} \left[ \left( \frac{\sin^{\alpha} k}{x-q} + \frac{k_{z} \cos^{\alpha} k}{x^{2} + k_{z}^{2}} \right) \frac{1}{(2x)^{t+1}} \right]_{x=\xi}, \qquad (45)$$

where (1,h) implies using either 1 or h, whichever is smaller.

We have evaluated the overlap integral, Eq. (42), for a variety of interacting orbitals for hydrogen atom on the surface of aluminum, and the results are depicted in Fig. 1. As can be seen from this figure, the overlap becomes large as n becomes large, which is due to the fact that the overlap does not become a maximum until the volume occupied by the electronic wavefunction of the atom approaches the size of the metal. This happens at the point of dissociation,  $n \to \infty$ .

The peculiar structure of the 2s overlap is caused because  $q \approx \xi$ , i.e., at the exact point of equality A cancels  $b_0$ . Consequently, if the atom is on the surface (z = 0), the overlap is zero. For  $q < \xi$ , the metal surface damping dominates the overlap at small z; for  $q > \xi$ , the atomic damping dominates.

#### 5. Discussion

We have demonstrated that the overlap integrals for the wavefunctions of an atom with a metal surface can be written as algebraic expressions in term of the distance from the surface. Furthermore, we have shown that these expressions are real except for a simple multiplicative phase factor. Analytical expressions for the coefficients of the overlap formulas have also been presented. Solving these closed-form expressions for the overlaps will be much more computationally efficient than direct numerical solution of the overlap integrals. Since other integrals of interest in surface calculations can be written in terms of the overlaps, this efficiency will be transferred to atommetal surface potential energy calculations. Such integrals are also extremely useful in studying such problems as atom-surface charge transfer, scattering and energy coupling. These problems are of ongoing interest in our research.

#### Acknowledgements

This research was supported in part by the Air Force Office of Scientific Research (AFSC), United States Air Force, under Grant AFOSR-82-0046, the Office of Naval Research, and the National Science Foundation under Grant CHE-8320185. The United States Government is authorized to reproduce and distribute reprints for governmental purposes notwithstanding any copyright notation hereon. TFG acknowledges the Camille and Henry Dreyfus Foundation for a Teacher-Scholar Award (1975-86).

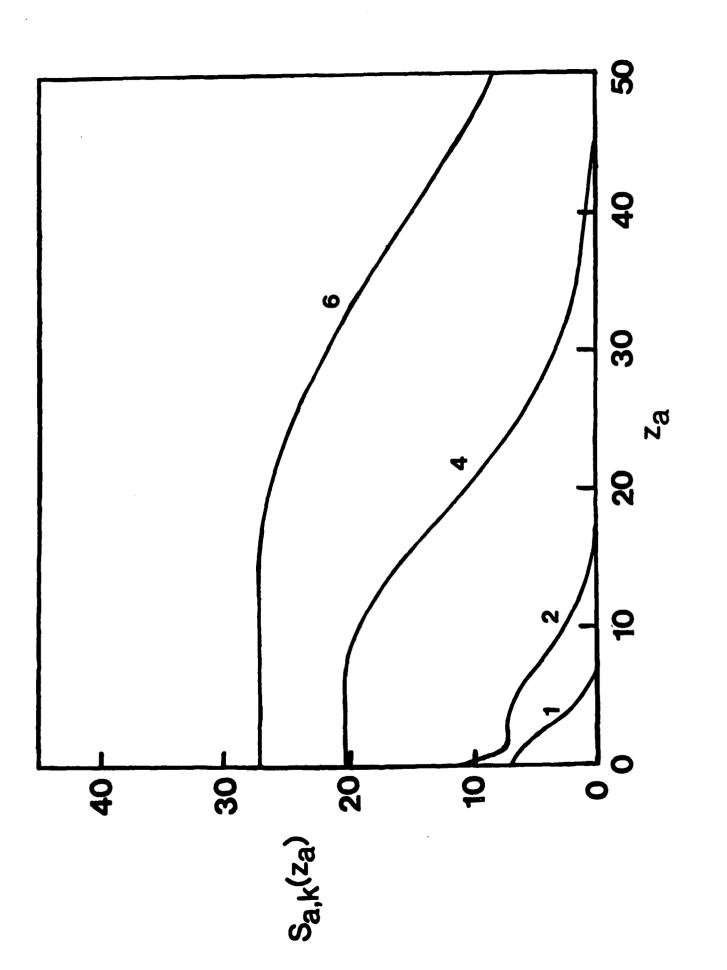
#### References

ると見るとなる。最のななな。自分などの問題のなって、間のなって

- 1. N. D. Lang, Solid State Commun. 9, 1015 (1975).
- 2. N. D. Lang and A. R. Williams, Phys. Rev. Lett. 34, 531 (1975).
- 3. N. D. Lang and A. R. Williams, Phys. Rev. Lett. 37, 212 (1976).
- 4. O. Gunnarsson, H. Hjelmberg and B. I. Lundqvist, Phys. Rev. Lett. 37, 292 (1976).
- 5. J. K. Norskov, A. Houmoller, P. K. Johnsson, and B. I. Lundqvist, Phys. Rev. Lett. 46, 257 (1981).
- 6. A. R. Gregory, A. Gelb, and R. Silbey, Surf. Sci. 74, 497 (1978).
- 7. J. C. Slater, Phys. Rev. <u>36</u>, 57 (1930).
- 8. J. M. Ziman, Principles of the Theory of Solids, 2nd Ed. (Cambridge University Press, London, 1979), p. 77 ff.
- 9. A. Messiah, Quantum Mechanics, Vol. I (North-Holland, Amsterdam, 1958), p. 497.
- 10. I. S. Gradshteyn and I. M. Ryshik, <u>Table of Integrals</u>, <u>Series</u>, and <u>Products</u>, 4th Ed. (Academic Press, New York, 1965), p. 966.
- 11. M. Abramowitz, in <u>Handbook of Mathematical Functions</u>, edited by M. Abramowitz and I. A. Stegun (Dover, New York, 1965), p. 12.
- 12. W. G. Bickley, Math. Gaz. 25, 19 (1941).

#### Figure Caption

1. The overlap  $S_{a,z}(z_a)$  in units of the normalization factor,  $(2/LA_s)^{1/2}$  versus the distance from the surface,  $z_a$ , in atomic units. The data are for hydrogen s orbitals overlapping the Fermi wavefunction of aluminum. The curves are labelled by the principal quantum numbers.



#### DL/413/83/01 GEN/413-2

#### TECHNICAL REPORT DISTRIBUTION LIST, GEN

<u>c</u>	No. Copies		No. Copies
Office of Naval Research Attn: Code 413 800 N. Quincy Street Arlington, Virginia 22217	2	Dr. David Young Code 334 NORDA NSTL, Mississippi 39529	1
Dr. Bernard Douda Naval Weapons Support Center Code 5042 Crane, Indiana 47522	1	Naval Weapons Center Attn: Dr. A. B. Amster Chemistry Division China Lake, California 93555	1
Commander, Naval Air Systems Command Attn: Code 310C (H. Rosenwasser) Washington, D.C. 20360	1	Scientific Advisor Commandant of the Marine Corps Code RD-1 Washington, D.C. 20380	1
Naval Civil Engineering Laboratory Attn: Dr. R. W. Drisko Port Hueneme, California 93401	1	U.S. Army Research Office Attn: CRD-AA-IP P.O. Box 12211 Research Triangle Park, NC 2770	1
Defense Technical Information Center Building 5, Cameron Station Alexandria, Virginia 22314	12	Mr. John Boyle Materials Branch Naval Ship Engineering Center Philadelphia, Pennsylvania 1911	1
DTNSRDC Attn: Dr. G. Bosmajian Applied Chemistry Division Annapolis, Maryland 21401	1	Naval Ocean Systems Center Attn: Dr. S. Yamamoto Marine Sciences Division San Diego, California 91232	1
Dr. William Tolles Superintendent Chemistry Division, Code 6100 Naval Research Laboratory Washington, D.C. 20375	1	Dr. David L. Nelson Chemistry Division Office of Naval Research 800 North Quincy Street Arlington, Virginia 22217	1

Dr. G. A. Somorjai Department of Chemistry University of California Berkeley, California 94720

Dr. J. Murday Naval Research Laboratory Surface Chemistry Division (6170) 455 Overlook Avenue, S.W. Washington, D.C. 20375

Dr. J. B. Hudson Materials Division Rensselaer Polytechnic Institute Troy, New York 12181

Dr. Theodore E. Madey Surface Chemistry Section Department of Commerce National Bureau of Standards Washington, D.C. 20234

Dr. J. E. Demuth
IBM Corporation
Thomas J. Watson Research Center
P.O. Box 218
Yorktown Heights, New York 10598

Dr. M. G. Lagally
Department of Metallurgical
and Mining Engineering
University of Wisconsin
Madison, Wisconsin 53706

Dr. R. P. Van Duyne Chemistry Department Northwestern University Evanston, Illinois 60637

Dr. J. M. White Department of Chemistry University of Texas Austin, Texas 78712

Dr. D. E. Harrison Department of Physics Naval Postgraduate School Monterey, California 93940 Dr. W. Kohn
Department of Physics
University of California, San Diego
La Jolla, California 92037

Dr. R. L. Park
Director, Center of Materials
Research
University of Maryland
College Park, Maryland 20742

Dr. W. T. Peria Electrical Engineering Department University of Minnesota Minneapolis, Minnesota 55455

Dr. Keith H. Johnson
Department of Metallurgy and
Materials Science
Massachusetts Institute of Technology
Cambridge, Massachusetts 02139

Dr. S. Sibener
Department of Chemistry
James Franck Institute
5640 Ellis Avenue
Chicago, Illinois 60637

Dr. Arold Green Quantum Surface Dynamics Branch Code 3817 Naval Weapons Center China Lake, California 93555

Dr. A. Wold Department of Chemistry Brown University Providence, Rhode Island 02912

Dr. S. L. Bernasek Department of Chemistry Princeton University Princeton, New Jersey 08544

Dr. P. Lund Department of Chemistry Howard University Washington, D.C. 20059

Dr. F. Carter Code 6132 Naval Research Laboratory Washington, D.C. 20375

Dr. Richard Colton Code 6112 Naval Research Laboratory Washington, D.C. 20375

Dr. Dan Pierce National Bureau of Standards Optical Physics Division Washington, D.C. 20234

Dr. R. Stanley Williams Department of Chemistry University of California Los Angeles, California 90024

Or. R. P. Messmer Materials Characterization Lab. General Electric Company Schenectady, New York 22217

Dr. Robert Gomer Department of Chemistry James Franck Institute 5640 Ellis Avenue Chicago, Illinois 60637

Dr. Ronald Lee R301 Naval Surface Weapons Center White Oak Silver Spring, Maryland 20910

Dr. Paul Schoen Code 5570 Naval Research Laboratory Washington, D.C. 20375

Dr. John T. Yates Department of Chemistry University of Pittsburgh Pittsburgh, Pennsylvania 15260 Dr. Richard Greene Code 5230 Naval Research Laboratory Washington, D.C. 20375

Or. L. Kesmodel
Department of Physics
Indiana University
Bloomington, Indiana 47403

Dr. K. C. Janda
California Institute of Technology
Division of Chemistry and Chemical
Engineering
Pasadena, California 91125

Dr. E. A. Irene
Department of Chemistry
University of North Carolina
Chapel Hill, Northc Carolina 27514

Dr. Adam Heller Bell Laboratories Murray Hill, New Jersey 07974

Dr. Martin Fleischmann Department of Chemistry Southampton University Southampton 509 5NH Hampshire, England

Dr. John W. Wilkins Cornell University Laboratory of Atomic and Solid State Physics Ithaca, New York 14853

Dr. Richard Smardzewski Code 6130 Naval Research Laboratory Washington, D.C. 20375

Dr. H. Tachikawa Chemistry Department Jackson State University Jackson, Mississippi 39217

Dr. R. G. Wallis Department of Physics University of California Irvine, California 92664

Dr. D. Ramaker Chemistry Department George Washington University Washington, D.C. 20052

Dr. J. C. Hemminger Chemistry Department University of California Irvine, California 92717

Dr. T. F. George Chemistry Department University of Rochester Bechester, New York 14627

Dr. G. Rubloff IBM Thomas J. Watson Research Center P.O. Box 218 Yorktown Heights, New York 10598

Dr. Horia Metiu Chemistry Department University of California Santa Barbara, California 93106

Captain Lee Myers AFOSR/NC Bollig AFB Washington, D.C. 20332

Dr. J. T. Keiser Department of Chemistry University of Richmond Richmond, Virginia 23173

Dr. Roald Hoffmann Department of Chemistry Cornell University Ithaca, New York 14853 Dr. R. W. Plummer Department of Physics University of Pennsylvania Philadelphia, Pennsylvania 19104

Dr. E. Yeager Department of Chemistry Case Western Reserve University Cleveland, Ohio 41106

Dr. N. Winograd
Department of Chemistry
Pennsylvania State University
University Park, Pennsylvania 16802

Dr. G. D. Stein Mechanical Engineering Department Northwestern University Evanston, Illinois 60201

Dr. A. Stecki
Department of Electrical and
Systems Engineering
Rensselaer Polytechnic Institute
Troy, NewYork 12181

Dr. G. H. Morrison Department of Chemistry Cornell University Ithaca. New York 14853

Dr. P. Hansma Physics Department University of California Santa Barbara, California 93106

Dr. J. Baldeschwieler California Institute of Technology Division of Chemistry Pasadena, California 91125

Dr. W. Goddard California Institute of Technology Division of Chemistry Pasadena, California 91125

Dr. J. E. Jensen Hughes Research Laboratory 3011 Malibu Canyon Road Malibu, California 90265

Dr. J. H. Weaver
Department of Chemical Engineering
and Materials Science
University of Minnesota
Minneapolis, Minnesota 55455

Dr. W. Knauer Hughes Research Laboratory 3011 Malibu Canyon Road Malibu, California 90265

Dr. C. B. Harris Department of Chemistry University of California Berkeley, California 94720

# END

## FILMED

7-85

DTIC